

APPLICATION NO.

10/613,888

United States Patent and Trademark Office

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EXAMINER

ATTORNEY DOCKET NO. CONFIRMATION NO.

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CHARMASSON & BUCHACA & LEACH LLP
1545 HOTEL CIRCLE SOUTH
SUITE 150
SAN DIEGO, CA 92108-3412

FILING DATE

07/02/2003

MAYES, DIONNE WALLS

ART UNIT PAPER NUMBER

1731

DATE MAILED: 12/07/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

FIRST NAMED INVENTOR

Diane M. Iannuzzi

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		Application No.	Applicant(s)	
Office Action Summary		10/613,888	IANNUZZI, DIANE M.	
		Examiner	Art Unit	
		Dionne Walls Mayes	1731	_
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply				
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).				
Status				
1)	Responsive to communication(s) filed on	_•		
2a) <u></u> □	This action is FINAL . 2b)⊠ This action is non-final.			
3)[Since this application is in condition for allowance except for formal matters, prosecution as to the merits is			
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.				
Dispositi	on of Claims			
4)⊠ Claim(s) <u>1-19</u> is/are pending in the application.				
	4a) Of the above claim(s) <u>16-19</u> is/are withdrawn from consideration.			
5)□	5) Claim(s) is/are allowed.			
6)⊠	☑ Claim(s) <u>1-3,6,10 and 11</u> is/are rejected.			
·	7) Claim(s) <u>4,5,7-9 and 12-15</u> is/are objected to.			
8) Claim(s) are subject to restriction and/or election requirement.				
Applicati	on Papers			
9)[The specification is objected to by the Examine	r.		
10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.				
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).				
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).				
11)[The oath or declaration is objected to by the Ex	aminer. Note the attached Office	Action or form PTO-152.	
Priority u	ınder 35 U.S.C. § 119			
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of:				
1. Certified copies of the priority documents have been received.				
2. Certified copies of the priority documents have been received in Application No				
3. Copies of the certified copies of the priority documents have been received in this National Stage				
application from the International Bureau (PCT Rule 17.2(a)).				
* See the attached detailed Office action for a list of the certified copies not received.				
Attachmen				
1) Notic	e of References Cited (PTO-892)	4) Interview Summary		
3) 🛛 Infor	e of Draftsperson's Patent Drawing Review (PTO-948) nation Disclosure Statement(s) (PTO-1449 or PTO/SB/08) r No(s)/Mail Date	Paper No(s)/Mail Da 5) Notice of Informal P 6) Other:	ate atent Application (PTO-152)	

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DETAILED ACTION

Election/Restrictions

1. Restriction to one of the following inventions is required under 35 U.S.C. 121:

- Claims 1-15, drawn to a simulated smoking device for non-burning use, classified in class 131, subclass 270.
- Claims 16-19, drawn to a simulated cigarette, classified in class 131, subclass 270.

The inventions are distinct, each from the other because of the following reasons:

- 2. Inventions I and II are unrelated. Inventions are unrelated if it can be shown that they are not disclosed as capable of use together and they have different modes of operation, different functions, or different effects (MPEP § 806.04, MPEP § 808.01). In the instant case the different inventions the inventions have different modes of operation in that Invention II, simulated cigarette, operates using valved means on a passageway for directing an inhalation flow past an aromatic substance and an exhalation flow past a smoke simulating substance, wherein Invention I, simulated smoking device for non-burning use, operates using an oblong instrument to scrape against substance deposited on a sheet.
- 3. Because these inventions are distinct for the reasons given above and the search required for Group I is not required for Group II, restriction for examination purposes as indicated is proper.
- 4. During a telephone conversation with Mr. John Buchaca on July 20, 2005 a provisional election was made with traverse to prosecute Invention I, claims 1-15.

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Affirmation of this election must be made by applicant in replying to this Office action.

Claims 16-19 are withdrawn from further consideration by the examiner, 37

CFR 1.142(b), as being drawn to a non-elected invention.

Double Patenting

5. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., In re Berg, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); In re Goodman, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); In re Longi, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); In re Van Ornum, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); In re Vogel, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and In re Thorington, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

6. Claims 1-15 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-18 of copending Application No. 10/926,162. Although the conflicting claims are not identical, they are not patentably distinct from each other because the claims of 10/926,162 are merely worded differently but are fully encompassed by the claims of the instant application.

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

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Claim Rejections - 35 USC § 103

7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

8. Claims 1-3, 6, 10-11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Rose et al (US. Pat. No. 5,687,746)

Rose et al discloses nearly all that is recited in the claims, since it teaches a dry powder delivery device (corresponding to the claimed "simulated smoking device for non-burning use") which comprises a tubular housing 100 (corresponding to the claimed "vessel defining a hollow interior"), bristles 104 located within said interior adapted to carry dry powder thereon, and a scraper 106 sized and shaped to penetrate into the interior and scrape the bristles to dislodge the particles of dry powder (see Fig. 11 and col. 10, lines 3-14). While there may be no specific articulation that the bristles are "sheets", the examiner believes that a broad reading of the word "sheet" would include the disclosed "bristles" of Rose et al, especially as viewed in Fig. 12. Also, while the powdered substance of Rose et al may not be disclosed as an "aromatic substance", it follows that the substances which are envisioned by Rose et la to be used can be considered to be "aromatic" since citric acid, nicotine salts, tobacco snuff, ascorbic acid or other powdered substances envisioned as being usable in the Rose et al device are certainly capable of emitting an aroma.

Regarding claim 2, while Rose et al may not stated that the septum dividing a first and second chamber has a valved opening encouraging unidirectional flow, it follows that one having ordinary skill in the art would have added such a feature in order to ensure that the powdered substance would flow in one direction – toward the mouth of the user – to most effectively accomplish the stated goals.

Regarding claim 6, the valving device of Rose et al would be capable of enabling unidirectional flow in such a manner so as to allow exhalation.

Regarding claims 10 and 11, by being rotatively mounted to the device, the scraper is also, obviously, slidingly mounted to the device since during rotation, the scraper is also "sliding" in said device.

Allowable Subject Matter

9. Claims 4-5, 7-9, and 12-15 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Dionne Walls Mayes whose telephone number is (571) 272-1195. The examiner can normally be reached on Mon-Fri, 7AM - 4:30PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Steven P. Griffin can be reached on (571) 272-1189. The fax phone

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number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Dionne Walls Mayes Primary Examiner Art Unit 1731 Page 6

December 5, 2005